



01-06-02

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2812

Attorney Docket No. MTI-31269

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Yates, et al.  
Serial No. : 10/050,390  
Filing Date : January 16, 2002  
Group Art Unit: 2812  
For : Method for Enhancing Electrode Surface Area in DRAM Cell Capacitors  
Confirmation No.: 6193

**RECEIVED**

**JAN 08 2003**

**CSO 2800**

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

■ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

**37 CFR 1.8(a)**

**37 CFR 1.10**

☐ with sufficient postage as first class mail ■ As "Express Mail Post Office to Addressee" Mailing Label No. EV 048319558 US

**Transmission**

☐ transmitted by facsimile to Fax No \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the US Patent and Trademark Office.

Date: Jan 3, 03

*[Signature]*

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL**

1. Transmitted herewith is:

- Preliminary Amendment
- Replacement Claims (27 sheets)
- Blacklined Claims (27 sheets)
- Return Postcard

**STATUS**

2. Applicant is a large entity.

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Serial No. 10/050,390

Transmittal

### EXTENSION OF TERM

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- ☒ Applicant believes that no extension of term is required. However, if an extension of time is required, please consider this a petition therefor.
- ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 for the total number of months checked below [fees: 37 C.F.R. 1.17(a)(1)-(4)] :

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$0.00

If an additional extension of time is required, please consider this a petition therefor.

### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Claims Remaining After Amendment		Highest No. Previously Paid For		Rate (Small Entity)	Additional Fee or	Rate (Large Entity)	Additional Fee
Total 189	Minus	159	=	x 9= \$	\$	30 x 18	\$540.00
Independent 26	Minus	23	=	x 42= \$	\$	3 x 84	\$252.00

FIRST PRESENTATION OF MULTIPLE DEP CLAIM

TOTAL  
ADDIT. Fee \$

or TOTAL  
ADDIT. FEE \$792.00

- c. ☐ No additional fee for claims is required.
- d. ☒ Total additional fee for claims required \$792.00

### FEE DEFICIENCY

5. ☒ If any additional extension and/or fee is required, charge Account No. 23-2053.
- ☒ If any additional fee for claims is required, charge Account No. 23-2053.

Date: January 3, 2003

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